

Audit Committee – Action Plan

| Agreed Action | Person Responsible | Target Date | Outcome / Progress |
|---|--|-------------------------|--|
| Briefing papers occasionally for Programme Centre : ~ Risk Management ~ Key projects and support areas | - | - | Keep on work plan as reminder |
| Following activities to be scheduled on work plan for 2012: § Management Board re succession planning § ICT resilience in Business Continuity work plan § Whole of government accounts | Lucy Pledge Lucy Pledge Dave Simpson | 30.01.12 31.3.12 | Email to Exec Directors on 5 th January 2012 Requested ST incorporates this in work plan for 2012/13. Incorporate into Action Plan on financial statements. |

| Completed Actions | | | |
|--|-------------|--------------|-------------------|
| Following activities have been scheduled on work plan for 2012 § Register of Interests, Gifts & Hospitality § Complaints § Regulation of Investigatory Powers Act (RIPA) § Compromise Agreements | Lucy Pledge | January 2012 | Papers requested. |

Audit Committee – Action Plan

Audit Committee – Work Plan

| 30th January 2012 | 19 th March 2012 | 23 rd April 2012 | 11 th June 2012 | 9 th July 2012 |
|---|--|---|---|--|
| 1. Outcome of 'Peer Challenge' | 1. Strategic Risk Register | 1. Audit Committee Annual Report 2011/12 | 1. Head of Audit Annual Report 2011/12 | 1. Update counter fraud policy including Bribery Act |
| 2. External Audit – Opinion Plans for 2012 (LCC and Pension Accounts) | 2. Revised Risk Management Strategy | 2. Register of Interests & Gifts and Hospitality | 2. Counter Fraud Annual Report 2011/12 | 2. Regulation of Investigatory Powers Act (RIPA) |
| 3. Councils Assurance Arrangements - 'Assurance Map' for the Council | 3. Progress on the Counter Fraud Plan 2011/12 | 3. Effectiveness of Councils Complaint process | 3. Risk Management Annual Report 2011/12 | 3. Compromise Agreements |
| 4. Future of Public Audit | 4. Internal Audit progress report against 2011/12 plan | 4. Strategic risk management annual work plan 2012/13 | 4. Annual Governance statement 2012 | |
| 5. Audit Committee Annual Report - Draft | 5. Analysis of outstanding high recommendations | 5. Review of effectiveness of system of Internal Audit | 5. Draft Financial Statements 2011/12 | |
| | 6. Internal Audit Annual Plan 2012/13 | 6. Internal Audit progress report against 2011/12 plan | 6. External Audit progress Report | |
| | 7. Counter Fraud Annual work plan 2012/13 | 7. ISA 240 questions around fraud and internal controls - 2011/12 | 7. Review of Governance Arrangements and development of Annual Governance | |

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|-------------------|---|------------------------------|------------------------------|---------------------------|
| | | | Statement | |
| | 8. External Audit progress Report | 8. Wolds College - update | 8. Audit Committee work plan | |
| | 9. Audit Committee work plan | 9. Audit Committee work plan | | |
| | Workshop Event in the Afternoon: ~ Counter Fraud; or ~ Executive Directors; or ~ Strategic Risk Register | | | |

Future Meeting dates:

24th September 2012

12th November 2012

17th December 2012